1	Pigments in Extra Virgin Olive Oils produced in different Mediterranean
2	Countries in 2014: near UV-vis spectroscopy versus HPLC-DAD.
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14	Keywords: EVOO, UV-vis spectroscopy, HPLC, carotenoids, pheophytins.
15	
16	Abstract
17	Carotenoids and chlorophyll derivatives play a key role in Extra Virgin Olive Oils (EVOOs). Many
18	factors, such as cultivar, geographic origin, maturity of olives, climate and storage conditions,
19	influence the pigments' content. The quantification of pigments is usually done by chromatographic
20	techniques. However, recent works evidenced the potentialities of UV-visible-related
21	methodologies. In this research, a selection of EVOO samples produced from olives harvested at the
22	beginning of November 2014 in Greece, Tunisia, Italy and Spain, was investigated in terms of
23	pigments by means of two methods. The first one is a recent approach based on the mathematical
24	treatment of near UV-vis absorption spectra of olive oils to quantify in a fast, cheap and non-
25	destructive way four main pigments, namely β -carotene, lutein, pheophytin A and pheophytin B.

The second one is a more standard HPLC-DAD method. From the comparison between the two methods, we can conclude that the new near UV-vis approach gives reliable results, with good precision and high reproducibility. Pigments quantified by these two methods in EVOOs produced in four countries from different cultivars are analyzed by principal component analysis (PCA). Results indicate that pigments can be correlated to several factors such as ripeness stage, geographic origin and cultivars.

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33 **1. Introduction**

Extra Virgin Olive Oil (EVOO) is one of the main agricultural product in Mediterranean countries for its role in diet and, more generally, in Culture. The benefits of using EVOO as condiment for the human health have been widely demonstrated (Parkinson & Cicerale, 2016 and reference therein) and this is mainly related to the amount of bioactive components present in EVOOs. Among bioactive constituents in olive oils, it's worth mentioning minor components, such as hydrocarbons, tocopherols, pigments, sterols, terpene acids, phenolic acids and their derivatives (Boskou, 2015).

40 Pigments, constituted by carotenoids and chlorophyll derivatives (Mínguez-Mosquera, Gandul-41 Rojas, Garrido-Fernández, & Gallardo-Guerrero 1990), play a key role in EVOO general aspect, 42 since they determine the colour (Mínguez-Mosquera et al., 1991). Moreover, pigments are related to EVOO quality, due to their relationship with freshness, nutritional and antioxidant properties. 43 44 Among pigments, chlorophylls are usually present in the form of pheophytins; pheophytin A is the 45 most predominant one, while chlorophylls can be found in fresh olive oils. Carotenoid fraction is 46 dominated by β -carotene and lutein, while other carotenoids, namely the xanthophylls, such as β -47 criptoxanthin, violaxanthin, neoxanthin and others, can be found in smaller amount (Gandul-Rojas, 48 Roca & Gallardo-Guerrero, 2016).

49 Quantification of pigments in EVOOs and their relationship with quality parameters, however, is 50 not an easy task (Lazzerini, Cifelli, & Domenici, 2016). The amount of a single pigment and the 51 relative content in carotenoids and chlorophyll derivatives strongly depend on the olive varieties 52 and geographic origin (Gandul-Rojas & Minguez-Mosquera, 1996; Giuffrida et al., 2007; Aparicio-Ruiz, Gandul-Rojas & Roca, 2009; Pizarro et al., 2013), the maturation of olives at the time of 53 54 harvesting (Cevik et al, 2014), the extraction process (Gallardo-Guerrero, Roca & Minguez-55 Mosquera, 2002) and the storage conditions (Gambacorta et al., 2009). Recent works have shown a 56 correlation between the amount of pigments and the authenticity of EVOOs (Gandul-Rojas, Cepero 57 & Minguez-Mosquera, 2000). For all these reasons, it is not surprising that a great effort is 58 dedicated to develop standardized methods to quantify pigments and possibly correlating them to 59 specific features of EVOO production and quality. Several analytical methods based on high 60 performance liquid chromatography (HPLC) have been proposed to identify and quantify 61 carotenoids (Cortes et al, 2004), chlorophyll derivatives (Watanabe, 1984) or both (Seppanen, 62 Rahmani, & Csallany, 2003; Minguez-Mosquera, Gandul-Rojas, & Gallardo-Guerrero, 1992). Most 63 of these methods require a preventive solvent extraction of pigments from the olive oil lipid matrix. 64 The chosen analytical methods, temperature and sequence of the extractions can cause significant 65 differences in the final pigments' content (Cert., Alba, & Pérez-Camino, 1999). On the other hand, 66 the analysis of the pigments' content obtained from a spectrum directly acquired on the EVOO 67 sample requires no sample pre-treatment.

68 In particular, while Ultra-violet (UV) absorption of extra-virgin olive oils (λ < 400 nm) is mostly 69 determined by the presence of phenolic components (Fuentes et al., 2012), near UV-visible (vis) 70 light absorption of EVOOs is associated to pigments (400 nm $<\lambda < 800$ nm) (Lazzerini, Cifelli, & 71 Domenici, 2016) and this specificity offers the possibility to overcome the above mentioned chromatography's limitations. Based on this EVOO's spectral characteristic, several spectroscopic 72 73 approaches have been developed to extract pigments' information from visible absorption spectra of 74 EVOOs. For instance, a recent method (Cayuela et al., 2014) associates the absorbance measured at 75 specific wavelengths in the visible region, namely the K_{470} and K_{670} indexes, to the amount of 76 carotenoids and chlorophyll derivatives, respectively. However, the sole absorbance values at 77 specific wavelengths in the visible absorption spectrum of EVOOs do not allow a reliable and

unambiguous quantification of single the pigments' content. Another approach is based on the
mathematical deconvolution of near UV-vis-absorption spectra to quantify specific pigments
present in EVOOs (Ayuso, Haro, & Escolar, 2004; Domenici et al., 2014). Other methods recently
developed use intelligent systems and chemiometric tools to analyze UV-vis absorption spectra of
EVOOs (Aroca-Santos et al, 2016) in order to characterize olive oils offering a valid instrument
against potential frauds (Torrecilla et al, 2010).

84 In the present work, we used a recently proposed spectroscopic method (Domenici et al., 2014) and 85 a High Pressure Liquid Chromatographic with Diode Array Detector (HPLC-DAD) method, modified from Hornero-Mendez, Gandul-Rojas, & Minguez-Mosquera (2005), to quantify main 86 87 pigments in several EVOOs. The spectroscopic approach allowed us to quantify β-carotene, lutein, pheophytin A and pheophytin B, while the HPLC-DAD method was here optimized to quantify β-88 89 carotene, β-cryptoxanthin, lutein, chlorophyll A and pheophytin A. These techniques are here 90 validated and compared in order to evaluate advantages and disadvantages. Both methods are 91 applied to a selection of monovarietal EVOOs produced in different geographical areas located in 92 four countries (Italy, Greece, Tunisia and Spain) and obtained from different olive varieties 93 (Leccino, from Italy, Koroneiki, from Greece, Chemlali, from Tunisia, and Cornicabra, Verdial de 94 Huévar, Hojiblanca, Poniente de Granada and Arbequina, from Spain). These olive oil samples 95 were produced from olives harvested in the same period and a particular care was taken to select the 96 samples: ripeness stage of harvested olives was known and storage conditions were controlled. 97 Pigments' concentrations and other relevant parameters are analyzed by Principal Component 98 Analysis (PCA). The differences among EVOOs produced in different geographic areas are 99 discussed and compared with the literature in order to evaluate the correlation between pigments' 100 content in olive oils and factors such as ripeness stage, geographic origin and cultivars.

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102 **2. Materials and methods**

103 2.1 EVOO samples

104 EVOO's samples, provided by private producers, were obtained from olives (fruits of Olea europaea, L. trees) harvested in the same period (beginning of November 2014). In particular, we 105 106 had 3 Italian, 2 Greek, 6 Spanish and 6 Tunisian EVOO samples, whose details about specific 107 geographical origin, cultivar and the ripeness stage of olives at harvesting are reported in Table 1. 108 The ripeness stage is characterized through the colour of the olive fruits at the moment of 109 harvesting, as follows: G, green; LG, light green; SRS, small reddish spots; TC, turning color; P, purple; B, black (Loudiyi et al, 1984). All EVOO samples were classified as "extra virgin olive 110 111 oils" by sensorial characteristics (International Regulations, Reg. CE 640/2008) and analytical 112 indices (European Regulation, Reg. CE 1234/2007, annex XVI). EVOO samples were stored in 113 dark glass bottles in the dark at 5 °C.

114 **2.2** Chemicals

Acetone, hexane, methanol, diethyl ether and water (HPLC purity) were used. The following chemical standards were used: β-carotene (C4582: 1mg. Type II, synthetic, \geq 95% HPLC), βcryptoxanthin (C6368: 1mg \geq 97% TLC), chlorophyll A (C5753: 1mg. From spinach) and lutein (C7168: 1mg \geq 95% (HPLC). All the above chemicals were purchased from Sigma Aldrich. Other analytes, such as pheophytins, were prepared or extracted as described below.

120 2.3 HPLC-DAD method

121 2.3.1 Solid Phase Extraction

122 About 0.3g of oil sample were weighted in a Solid Phase Extraction column (SPE-LC-Si of 300 mg 123 from Supelco) until complete absorption by applying a vacuum pump (pressure ~1.33 kPa). One 124 mL of hexane/diethyl ether mixture (87 mL / 13 mL) was added and then it was eluted with 9mL of 125 the same mixture at a constant rate. This solution was dried under a gentle stream of nitrogen at 126 room temperature, and the residual was redissolved in 700µL of acetone (first fraction). After the 127 first extraction, rich in β -carotene, a second fraction, rich of all other pigments, was obtained by 128 washing the residual in the SPE-LC with 10mL of acetone, drying it and redissolving it in 1mL of 129 acetone (second fraction).

130 2.3.2. Optimization of the HPLC method

The analyses were carried out using a Perkin Elmer HPLC system, equipped with auto-sampling, a 131 132 binary pump and a LC C18 column 18-DB Supelco, 3µ, 150mm x 4.6mm. The column was 133 connected with a pre-column. A Perkin Elmer Flexar PDA plus Detector has been used. The 134 injection volume was 10µL, the temperature of operation was kept constant at 25°C, and the run 135 time was 30 minutes. The eluent were: (A) a mixture of 60% of acetone and 40% of methanol and 136 (B) methanol. The flow was 1mL/min and the quantification of chromatogram peaks was carried 137 out integrating signal detected at λ =410nm. The following linear gradient was used for all 138 experiments: t=0 min (90% of eluent B); t=20 min (100% of eluent B); t=30 min (100% of eluent 139 B) followed by an equilibration time of 5 minute (90% of eluent B). Data were processed with Total 140 Chrom Navigator software (PDA). Chromatograms of the first and second fractions are reported in 141 Figure 1. The quantification of pigments was done by using the calibration method, as described in 142 the Supporting Information. The evaluation of main validation parameters of the analytical method, 143 such as selectivity, limit of detection as well as intra-day precision and accuracy of the method are 144 reported in Tables S1, S2 and S3.

145 2.4 Near Uv-vis spectroscopic method

146 Near UV-vis absorbance spectra were measured with a Jasco V-550 spectrophotometer using quartz 147 cells with 0.2cm optical path length. Oil samples were inserted in the quartz cell without any 148 treatment and the spectra were acquired in the spectral range 390-720nm, with a band-with spectral 149 resolution both fixed to 1nm. The measured absorbance was normalized to 1cm of optical path 150 before analysis. The mathematical treatment of the EVOO absorption spectrum was performed 151 following the method developed by us (Domenici et al., 2014) to obtain the concentration of four 152 main pigments: β-carotene, lutein, pheophytin A and pheophytin B. This procedure consists of the following steps: 1. Acquisition of the experimental UV-vis spectrum of the sample; 2. Fitting of the 153 normalized to 1cm optical path experimental spectrum; 3. Calculations of pigments concentrations 154 and relevant statistical parameters, such as R^2 (coefficient of determination). Steps 2 and 3 are done, 155

automatically, by a home-made program compatible with excel (Domenici et al., 2014). Further details of the method used for the deconvolution of the near UV-vis spectrum of olive oils are also provided in the Supporting Information. The concentration of pigments is reported as average value $(\bar{X}) \pm$ standard deviation (SD) on three replicates for each sample. The limits of detection and limits of quantification are reported in the **Table S4**.

161 2.5 Statistical analysis

162 Data analysis was performed with XLSTAT software for EXCEL.

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164 **3. Results and discussion**

165 3.1 Comparison between HPLC-DAD and near UV-vis spectroscopic methods

Specific validation tests for the two methods were performed following the Manual UNI/CHEM 166 167 190/1 (http://www.unichim.it/). The repeatability of both analytical methods was evaluated in a 168 single laboratory, by a single operator, on a single instrument, in a short interval of time. This 169 validation procedure, schematically shown in **Figure 2**, is referred to a single level of concentration 170 of the analytes. The verification of the normal distribution of the collected data was performed by 171 the Shapiro-Wilk test, while the verification of the presence of anomalous data was done by the Dixon test. The repeatability of both methods was evaluated by calculating the limits of 172 repeatability, *r*, defined as: 173

174
$$r = t s_r \sqrt{2} \tag{1}$$

Here *t* is the *t*-student parameter for a probability level of 95% and 9 degrees of freedom, s_r is the standard deviation calculated over ten replicates:

177
$$s_r = \sqrt{\frac{\sum_{i=1}^{n} (x_i - \bar{x})^2}{n-1}}$$
(2)

178 With \bar{x} being the average value over ten replicates.

The percent variation coefficient (CV%), the average values (\bar{x}) and the limits of repeatability, *r*, for all the analytes under investigations by the two methods are reported in **Tables 2** and **3**. The

181 validation test was successfully passed for all analytes determined by both methods, except for the chlorophyll A (HPLC-DAD), for which 1 data over 10 resulted anomalous. The precision of the 182 HPLC-DAD resulted very high for β -carotene, pheophytin A and lutein (CV% < 6) and a bit worse 183 in case of β -cryptoxantin and chlorophyll A (see **Table 2**). The recovery percentage (R) is excellent 184 185 in case of all analytes investigated by HPLC-DAD, except than β -carotene (R=87%) (see Supporting Information). The spectroscopic approach shows a very high precision in the 186 187 quantification of the four main pigments (CV% < 5). Moreover, the coefficient of determination (R²) of the mathematical treatment of the spectra ranges between 0.991 and 0.998, showing a very 188 189 good reproduction of the experimental near UV-vis absorption spectra by the fitting approach. It should be noted that the HPLC-DAD method here optimized was not able to quantify the 190 191 pheophytin B pigment with sufficient accuracy and precision; for this reason, it is not reported. On 192 the contrary, pheophytin B, could be determined with good precision and accuracy by the near UVvis spectroscopic approach. A direct quantitative comparison between the two methods can be 193 194 performed on the three main pigments: β-carotene, lutein and pheophytin A. To this purpose, the 195 two approaches were used to investigate a set of selected EVOOs (see Section 2.1 and Table 1). 196 Pigments quantification was performed in the same days (end of January / beginning of February 197 2015). The concentrations of pigments quantified by the two methods are reported in Tables 4 and 198 5. In Figures 3A, 3B and 3C, the regression curves corresponding to pheophytin A, β -carotene and 199 lutein determined by the two methods are reported, respectively. In the case of pheophytin A and β -200 carotene, the correlation between data obtained by near UV-vis spectroscopy and HPLC-DAD methods is high (\mathbb{R}^2 equal to 0.800 and 0.782, respectively), all data are in the confidence interval at 201 202 95% (see dashed curves in Figures 3A and 3B). Moreover, the residuals (not shown here) are homogeneously scattered. In the case of lutein, data (near UV-vis versus HPLC-DAD) show a 203 lower correlation, with R^2 equal to 0.430. As seen in Figure 3C, among the seventeen EVOO 204 205 samples, there is an outlier. Moreover, when plotting the residuals against the lutein measured by

206 Near UV-vis spectroscopy a positive trend is identified, thus indicating that the simple linear 207 correlation model is not satisfactory in the case of lutein. This aspect could be related to the 208 presence of other minor carotenoids having an absorption spectrum similar to that of lutein, not considered in the actual spectroscopic method. In Figure 3D, the regression curve of the 209 210 chlorophyll derivatives *versus* carotenoids ratio (P/C) determined by near Uv-vis spectroscopic (Y) and by HPLC-DAD (X) is reported. The correlation between the two variables is good (R^2 equal to 211 212 0.776), without outliers. The residuals, not displayed here, are scattered with respect to both 213 variables (X and Y), thus indicating the validity of the regression model, with p-value of <0.0001 214 (see Table S5).

215 3.2 Pigments' content in EVOOs produced in different European Countries in 2014

As already known (Gandul-Rojas, Roca, & Gallardo-Guerrero, 2016 and reference therein), the 216 217 amount of pigments in olive oils is affected by the ripeness stage of olives. The maturity of olives before oil production is also related to the climate conditions. The year 2014 was peculiar for some 218 219 geographical areas, such as Italy and Spain, due to unusual weather during Summer, which was at 220 the basis of the known drastic reduction of olive oil production in 2014/2015. The relationship 221 between the ripeness stage and pigments content in EVOO is indeed very important. From data 222 reported in Tables 4 and 5, a progressive decrease of both carotenoids and chlorophylls, and their 223 derivatives, through ripeness stage, can be observed. In Figure 4 the ratio between total amount of 224 chlorophylls' derivatives and the total amount of carotenoids (P/C) is reported as a function of the 225 ripeness stage. Previous studies (Roca, & Minguez-Mosquera, 2001) reported that this ratio decreases, depending on the ripeness stage, in table olives, but in olive oils it remains constant 226 227 around the value of 1.14, shifting in the range $0.53 \div 1.40$. Our study, however, shows that this ratio 228 can assume values in a much larger range, in agreement with other works (Psomiadou & Tsimidou, 2001; Criado et al, 2007; Aparicio-Ruiz, Gandul-Rojas & Roca, 2009; Lazzerini, Cifelli & 229 230 Domenici, 2016; Lazzerini & Domenici 2017).

231 Differences among EVOO samples are also associated to the geographic origin of the harvested 232 olives. Italian EVOOs analyzed in this work were produced in a restricted area close to Lucca 233 (Tuscany) from olives of Leccino variety, a typical Tuscan cultivar. These samples differ only for 234 the maturity of olives (TC or P) at harvesting (see Table 1). As seen in Table 4, the chlorophyll A 235 content is low, as expected due to the ripeness stage, and the overall mean pigment content is low: 236 7.98 ppm (HPLC-DAD) and 13.01 ppm (near UV-vis). A direct comparison with the literature is difficult since, to our knowledge, pigments were never quantified in EVOOs produced from 237 238 Leccino monocultivar in Tuscany. Moreover, sensible differences between 2014 and previous 239 harvesting years could be related to the unlucky climate conditions in 2014, especially in Italy. 240 Tunisian EVOO samples studied in this work have a very homogeneous distribution of pigments, 241 with a mean total content of about 11.0 ppm (HPLC-DAD) and 17.7 ppm (near UV-vis). They were 242 produced from olives of the same cultivar, Chemlali, harvested in two regions (Sousse and Sfax). 243 The total amount of carotenoids and chlorophylls is similar to that reported for *Chemlali* EVOOs 244 produced in 2012-2013 (Gargouri et al., 2016). As observed in Tables 4 and 5, Tunisian Chemlali 245 olive oil samples are characterized by a lutein percentage, over carotenoids, rather high (similar to 246 Spanish EVOOs). Moreover, the ratio between chlorophylls and carotenoids ranges from 1.8 to 3.5 247 (HPLC-DAD) and from 1.4 to 1.7 (near UV-vis). Also in the case of Tunisian EVOOs, a direct 248 comparison with the literature is not possible, since other works (see, for instance, Rigane et al., 249 2013; Gargouri et al., 2016) focus on the total amount of carotenoids and total amount of 250 chlorophyll derivatives, and not to single pigments. Spanish EVOO samples analyzed in this work 251 were produced from different cultivars (Verdial de Huévar, Hojiblanca, Poniente de Granada, 252 Arbequina and Cornicabra) and in different geographical areas (Table 1). The variability of this set 253 of Spanish samples is also related to the ripeness stage, starting from green (G) to black (B) olives. 254 This variability reflects in the concentration of chlorophyll derivatives, higher for oils produced 255 from light green (S 1) or small reddish spots olives (S 2) and lower for other cases, with sensible 256 differences due to cultivars. Differently from EVOOs produced in other countries, almost all

257 Spanish EVOOs have a very high concentration of lutein, with a percentage over other carotenoids 258 reaching the mean value of 70% (HPLC-DAD) and 60% (near UV-vis). In case of Spanish olive 259 oils produced from olives having high maturity (P or B), the ratio between chlorophyll derivatives and carotenoids is close to $1.3 \div 1.5$ (from both methods), in good agreement with the literature 260 (Roca & Minguez-Mosquera, 2001; Gandul-Rojas, Cepero & Minguez-Mosquera, 2000). In case of 261 262 early ripeness stages, our results indicate a higher ratio, reaching the value of 3.3 for sample S 2, 263 which reflects the high concentration of pheophytin A with respect to all other pigments. The 264 differences among Spanish EVOOs can be explained based on the known differences among 265 cultivars (Gandul-Rojas, Roca, & Gallardo-Guerrero, 2016; and references therein; Domenici et al., 266 2014). Greek EVOOs were produced from olives at the first stages of maturity (G and LG) from 267 Koroneiki variety, one of the most important cultivar in Greece for the production of olive oils. The 268 amount of chlorophyll derivatives is the highest found in this set of samples. As reported in 269 Aparicio-Ruiz, Gandul-Rojas & Roca (2009) the ratio P/C in Koroneiki EVOOs is higher than in 270 Spanish EVOOs. The amounts of chlorophylls and carotenoids found in our two samples (G 1 and 271 G 2) are very similar to those reported in the case of Koroneiki 1 (Aparicio-Ruiz, Gandul-Rojas & 272 Roca, 2009) and those reported for Koroneiki EVOOs produced in the same geographic area 273 (Peloponnese, Greece) for similar ripeness stage (Psomiadou & Tsimidou, 2001). As in our case, in 274 spite of the low maturation stage of the harvested olives, the amount of chlorophyll A is not very 275 high with respect to pheophytin A due to the fast degradation of chlorophyll A to pheophytin A 276 (Psomiadou & Tsimidou, 2001). Similarly to some Spanish samples, Greek EVOOs have the higher 277 concentrations of total chlorophyll derivatives (Psomiadou et al., 2003).

To better visualize the differences among EVOOs from different European countries, a principal component analysis (PCA) was performed (**Figure 5**). Since HPLC-DAD and near UV-vis data are highly correlated, we used only parameters calculated from near UV-vis analysis (**Figure 5b**) and, to take into account the ripeness stage, the index of ripeness (*color index* in **Figure 5b**). PCA modelling gave 86.3% of explained variance by considering the first two PCs as shown in **Figure** **5a.** The first component (PC1) explains 64.4% of the total variance and include six parameters (**Table S6**); lutein and the color index are associated with the second component (PC2), with 21.9% of the total variance. The only parameter not included in the first two factors is pheophytin B (**Table S6**). Chemometric elaboration permitted the pattern recognition and a satisfactory distinction among the EVOOs produced in different countries, in particular in Italy, Tunisia and Greece. The Spanish samples are not well distinguished by other samples, due to the not homogeneity of this set of samples, as previously discussed.

290

4. Conclusions

292 EVOOs produced in 2014 in four Mediterranean countries have been analyzed in terms of main 293 pigments' content. Two methods have been exploited, namely the HPLC-DAD method and a recent 294 near UV-vis spectroscopic approach. The two methods were validated and compared. Pheophytin A 295 is determined with high precision and accuracy by both methods, while some discrepancies have 296 been found for the two main carotenoids: β-carotene and lutein. The two approaches were used to 297 quantify pigments in a selected set of EVOOs produced from different olive varieties and in two 298 cases, namely Leccino cultivar from Tuscany (Italy) and Chemlali cultivar from Tunisia, single 299 pigments were quantified for the first time. The ripeness stage of olives at harvesting was correlated 300 to the amount of pigments in EVOOs. Interestingly, a decrease of the ratio between chlorophyll 301 derivatives and carotenoids in olive oils was observed by increasing the maturity of olives at 302 harvesting. PCA analysis on these samples allowed us a good pattern recognition and a satisfactory 303 distinction among EVOOs produced in Greece, Italy and Tunisia in 2014, while Spanish samples 304 turned to be too widely distributed to be clustered.

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